

#4 2161
11/13/01 PATENT
Customer No. 22,852
Attorney Docket No. 5793.3023-00000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Frank L. ROTMAN et al.) Group Art Unit: 2161
)
Application No.: 09/788,643) Examiner: Not Yet Assigned
)
Filed: February 21, 2001)
)
For: METHODS AND SYSTEMS FOR)
PROVIDING TRANSACTION DATA)

Assistant Commissioner for Patents
Washington, DC 20231

RECEIVED

JUL 20 2001

Technology Center 2100

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed, to the undersigned's knowledge, before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine

LAW OFFICES

FINNEGAN, HENDERSON,
FARABOW, GARRETT,
& DUNNER, L.L.P.
1300 I STREET, N. W.
WASHINGTON, DC 20005
202-408-4000

that the cited documents do not constitute "prior art" under United States law,
Applicants reserve the right to present to the office the relevant facts and law regarding
the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the
patentability of the claimed invention over the listed documents, should one or more of
the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please
charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

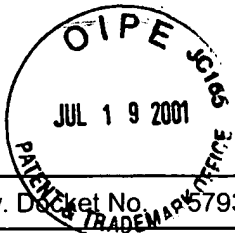
Dated: July 18, 2001

By: 

Jason E. Gordon
Reg. No. 46,734

LAW OFFICES

FINNEGAN, HENDERSON,
FARABOW, GARRETT,
& DUNNER, L.L.P.
1300 I STREET, N. W.
WASHINGTON, DC 20005
202-408-4000



INFORMATION DISCLOSURE CITATION

Atty. Docket No.	5793.3023-00000	Serial No.	09/788,643
Applicant	Frank L. ROTMAN et al.		
Filing Date	February 21, 2001	Group:	2161

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,299,115	March 29, 1994	Fields et al.			
	5,440,480	August 8, 1995	Costanza			
	5,444,819	August 22, 1995	Negishi			
	5,446,890	August 29, 1995	Renslo et al.			
	5,459,656	October 17, 1995	Fields et al.			
	5,712,985	January 27, 1998	Lee et al.			
	5,966,695	October 12, 1999	Melchione et al.			

FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

NextCard® eCommerce Index SM : Top 25 places where people bought online SM , at http://www.nextcard.com/ncindexes_top25_nov.html (printed December 12, 1999), 2 pages.

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce

RECEIVED
JUL 20 2001
Technology Center 2100